

# **Assessment Run H16 2019**

# **HER2** (BRISH or FISH)

#### **Material**

Table 1. Content of the multi-block used for the NordiOC HER2 ISH assessment, run H16

	HER2 IHC*	Dual - SISH**	FISH***	FISH***
	IHC score	HER2/chr17 ratio¤	HER2/chr17 ratio¤	HER2 copies
1. Breast carcinoma	0	0.8 - 1.0	0.6	<4
2. Breast carcinoma	3+	3.8 - 4.7	3.2	≥ 4
3. Breast carcinoma	1+	1.3 - 1.4	1.3	<4
4. Breast carcinoma	2+	1.3 - 1.5	1.0	<4
5. Breast carcinoma	3+	14.6 - 16.8	9.9	>6



All tissues were fixed for 24-48 hours in 10% neutral buffered formalin according to the ASCO/CAP 2013/2018 guidelines for tissue preparation of breast tissue for HER2 ISH analysis.

### **HER2 BRISH, Technical assessment**

The main criteria for assessing a BRISH HER2 analysis as technically optimal were the ability to interpret the signals and thus evaluate the HER2/chr17 ratios in all five tissues.

Staining was assessed as good, if the HER2/chr17 ratios could be evaluated in all five tissues, but the interpretation was slightly compromised e.g. due to excessive retrieval, weak or excessive counterstaining or focal negative areas.

Staining was assessed as **borderline** if one of the tissues could not be evaluated properly e.g. due to weak signals, large negative areas with no signals (> 25% of the core) or a low signal-to-noise ratio due to excessive background staining.

Staining was assessed as **poor** if two or more of the tissue cores could not be evaluated properly e.g. due to weak signals, large negative areas with no signals (> 25% of the core) or a low signal-to-noise ratio due to excessive background staining.

### **HER2 BRISH and FISH interpretation**

For both BRISH and FISH, participating laboratories were asked to submit a scoring sheet with their interpretation of the HER2/chr17 ratio. Results were compared to NordiQC FISH data from reference laboratories to analyze scoring consensus.

Consensus scores from the NordiQC BRISH/FISH reference laboratories

- Breast ductal carcinoma, no. 1, 3 and 4: non-amplified
- Breast ductal carcinoma, no. 2 and 5: amplified

The ASCO/CAP 2018 guidelines were applied for the interpretation of the HER2 status:

**Amplified**: HER2/chr17 ratio  $\geq 2.0$  using a dual probe assay with an average  $\geq 4$  HER2 copies per cell/nucleus. Using a single probe assay an average of  $\geq$  6 HER2 copies per cell/nucleus. (Group 1)

**Equivocal** (Additional work-up required):

HER2/chr17 ratio of  $\geq$  2.0 using a dual probe assay with an average of < 4 HER2 gene copies per cell/nucleus (Group 2)

HER2/chr17 ratio of < 2.0 using a dual probe assay with an average of  $\ge 6$  HER2 gene copies per cell/nucleus (Group 3)

<sup>\*</sup> PATHWAY® (Ventana/Roche), data from two reference labs.

\*\* Inform HER2 Dual ISH kit (Ventana/Roche), range of data from one reference lab.

<sup>\*\*\*</sup> HER2 FISH (Zytovision), data from one reference lab.

xHER2/chr17: HER2 gene/chromosome 17 ratio

HER2/chr17 ratio of < 2.0 using a dual probe assay with an average of  $\ge 4$  and < 6 HER2 gene copies per cell/nucleus (both dual and single probe assay) (Group 4)

**Unamplified**: HER2/chr17 ratio < 2.0 using a dual probe assay with an average < 4 HER2 gene copies per cell/nucleus (both dual and single probe assay) (Group 5)

#### **Participation**

Number of laboratories registered for HER2 BRISH	142
Number of laboratories returning slides	137 (96%)
Number of laboratories returning scoring sheet	125 (91%)
Number of laboratories registered for HER2 FISH	62
Number of laboratories returning scoring sheet	59 (95%)

#### **Results BRISH, technical assessment**

In total, 137 laboratories participated in this assessment. 74 laboratories (54%) achieved a sufficient mark (optimal or good). Results are summarized in Table 2.

Table 2. HER2 BRISH systems and assessment marks for BRISH HER2 run H16.

Two colour HER2 systems	n	Vendor	Optimal	Good	Borderline	Poor	Suff. <sup>1</sup>	Suff. OPS <sup>2</sup>
INFORM™ HER2 Dual ISH <b>800-4422/780-4422</b>	68	Ventana/Roche	16	16	18	18	47%	54%
INFORM™ HER2 Dual ISH + IHC 800-4422 + HER2 IHC	23	Ventana/Roche	15	1	5	2	70%	82%
INFORM™ HER2 Dual ISH <b>800-6043</b>	28	Ventana/Roche	19	2	6	1	75%	84%
Zyto <i>Dot</i> <sup>®</sup> 2C <b>C-3022 / C-3032</b>	8	ZytoVision	1	1	3	3	-	-
One colour HER2 systems								
INFORM™ HER2 SISH <b>780-4332</b>	7	Ventana/Roche	2	1	4	0	-	-
Zyto <i>Dot</i> <sup>®</sup> <b>C-3003</b>	3	ZytoVision	0	0	1	2	-	-
Total	137		53	21	37	26		-
Proportion			39%	15%	27%	19%	54%	

<sup>1)</sup> Proportion of sufficient stains.

#### **Comments**

In this assessment, optimal demonstration and evaluation of the HER2 gene amplification status in all five cores of the multi-tissue block could be obtained by all the applied dual-colour systems as shown in Table 2, whereas only the INFORM HER2 SISH single colour system obtained optimal staining results. Minor focal staining artefacts were accepted if they did not compromise the overall interpretation in each of the five individual tissue cores. Artefacts as silver precipitates, excessive background staining or negative areas (see Figs. 5a-5b) were most likely caused by technical issues as slides drying out during the staining process or inadequate washing etc. In this run, and in concordance with the previous NordiQC runs, the ISH rejection criteria defined in the 2013/2018 ASCO/CAP HER2 guidelines were applied. In brief, repeated test must be performed if more than 25% of the signals/cells cannot be interpreted due to the artefacts listed above. In these cases, the staining results were rated as insufficient (poor or borderline). In the present assessment, a significant reduction in pass rate was observed compared to the latest runs (see Graph 1). At present, the reason for this reduction in pass rate is unknown. For the most commonly used HER2 BRISH assay, the INFORM™ HER2 Dual ISH (Ventana/Roche), a technical adequate result was provided in only 54% of the submitted slides using appropriate and vendor recommended protocol settings identified as essential to produce a technical optimal staining result. These data, which have been observed consistently in the latest NordiQC HER2 BRISH assessments, clearly indicate a general challenge for the assay to provide a reproducible performance. At present, no recommendations on how to improve the reproducibility have been identified.

### Optimal protocol settings: Two-colour HER2 systems

For the **INFORM™ Dual ISH system 800-4422** (Ventana/Roche), optimal demonstration of HER2 BRISH was typically based on Heat Induced Epitope Retrieval (HIER) in Cell Conditioning 2 (CC2) for 28-40 min.

<sup>2)</sup> Proportion of sufficient stains with optimal protocol settings only, see below.

at 86-90°C and subsequent proteolysis in Protease 3 for 8-24 min. at 36-37°C. The HER2 and chr17 probe cocktail was typically applied for 6 hours at 44°C following denaturation at 80°C for 20 min. Using these protocol settings, sufficient results (optimal or good) were seen in 54% of the submitted protocols (26 of 48). 26 laboratories used a protocol with optimal settings but, for unexplained reasons, completely false negative staining or excessive background staining (e.g. due to silver precipitates) was seen in the entire slide or large areas comprising >25% of the neoplastic cells in one or more of the tissue cores (see Figs. 5a-5b). Cases of impaired morphology, resulting in a general weak staining reaction were also displayed. No reason for these insufficient results could be related to the applied protocols, reagents, platforms (BenchMark XT, GX or Ultra) or any other protocol parameter. Identical observations have now been seen in many runs and might indicate a less robust and reproducible performance of the protocols on the used instruments. The "negative spot artefact" (large negative areas comprising >25% of the neoplastic cells in one or more of the tissue cores) was seen in 62% (16 of 26) of the laboratories. The "silver precipitate artefact" (large areas with silver precipitates comprising >25% of the neoplastic cells in one or more of the tissue cores) was seen in 19% (5 of 26) of the laboratories. The rest of the insufficient staining results was either caused by a general weak staining reaction or impaired morphology making interpretation difficult.

23 laboratories used the INFORM™ Dual ISH system 800-4422 (Ventana/Roche) in combination with immunohistochemical demonstration for HER2 PATHWAY® (Ventana/Roche). Optimal demonstration of HER2 BRISH using this assay was typically based on HIER in CC2 or Cell Conditioning 1 (CC1) for 24-32 min. at 75-90°C and subsequent proteolysis in Protease 2 for 8-20 min. at 36-37°C. The HER2 and chr17 probe cocktail was typically applied for 6 hours at 44°C following a denaturation at 80°C for 4 min. HER2 PATHWAY® was typically performed with iVIEW or UltraView as detection system. Both BenchMark ULTRA and XT could be used as stainer platform. Using these protocol settings, sufficient results were seen in 82% of the submitted protocols (14 of 17) (see Figs. 3a-3b). The reason for insufficient staining results was in the majority of cases (5 of 7) due to large negative areas comprising >25% of the neoplastic cells in one or more of the tissue cores ("negative spots"). In the current assessment and in concordance with previous assessments, the pass rate of the combined HER2 Dual ISH / HER2 IHC assay (also known as HER2 gene protein assay / GPA) was higher than the corresponding HER2 Dual ISH assay (see Table 2). Since the introduction of the combined HER2 Dual ISH / HER2 IHC assay in 2014, a total of 146 protocols have been submitted for assessment. 78% (114 of 146) have obtained sufficient staining results. In the same period, 963 protocols based on the INFORM™ Dual ISH system 800-4422 have been submitted and 65% (625 of 963) obtained sufficient staining results. Despite a slight decrease in pass rate in the current run, these data suggest that the combined HER2 Dual ISH / HER2 IHC assay is somewhat more robust compared to the "classic" INFORM™ Dual ISH system 800-4422. At present, the reason for this difference is unknown.

28 laboratories used the recently introduced **Ventana Dual ISH system 800-6043** (Ventana/Roche). Compared to the "classic" INFORM™ Dual ISH system 800-4222, the 800-6043 system is based on a reformulated cocktail of HER2 and chr17 probes using recently developed and highly sensitive detection kits. Optimal demonstration of HER2 BRISH using this assay was typically based on 2-step HIER procedure using CC1 for 16 min. at 82-90° C followed by CC2 for 24 min. at 82-90° C and subsequent proteolysis in ISH Protease 3 or Protease 3 for 12-20 min. at 36-37° C. The HER2 and chr17 probe cocktail was typically applied for 60 min. at 44° C following denaturation at 80° C for 8-16 min. Using these or similar protocol settings, sufficient results (optimal or good) (see Figs. 1-2) were seen in 84% of the submitted protocols (21 of 25). In contrast and in spite of using optimal protocol settings, the pass rate was only 54% for laboratories using the "classic" INFORM™ Dual ISH system 800-4222 (see Table 2). These data suggest that the "new" Ventana Dual ISH system 800-6043 is more robust compared to the "classic" INFORM™ Dual ISH system 800-4422. At present, the reason for this difference is unknown.

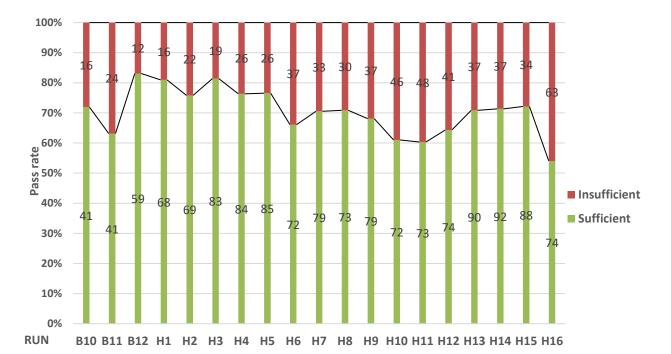
For the **ZytoDot® 2C system C-3022 / C-3032** (ZytoVision), one protocol gave optimal results (see Fig. 4b). This protocol was based on HIER in EDTA pH 8 in a waterbath for 15 min. at 95°C, proteolysis in pepsin for 5 min. at 37°C, hybridization at 37°C for 18-20 hours following a denaturation at 75°C for 5 min. and visualization with the ZytoVision detection kit C-3022. Using these or similar protocol settings, sufficient results were seen in 33% of the submitted protocols (1 of 3).

#### **One-colour HER2 systems**

For the **INFORM™ SISH system 780-4332** (Ventana/Roche), two protocols gave optimal results (see Fig. 4a). Protocols were typically based on HIER in CC2 for 28-40 min. at 86-92°C and subsequent proteolysis in Protease 3 for 4-12 min. at 36°C. The HER2 SISH probe was applied for 6 hours at 52°C following a denaturation at 93°C for 4-8 min. Using these protocol settings, sufficient results were seen in 75% of the submitted protocols (3 of 4).

#### **Performance history**

This was the twenty-second assessment of HER2 BRISH in NordiQC and a significant reduction in pass rate was observed compared to the latest runs. At present, the reason for this reduction in pass rate is unknown. Data from the last nineteen runs is shown in Graph 1.



Graph 1. Proportion of sufficient results for HER2 BRISH in the NordiQC assessment

## **HER2 ISH interpretation and scoring consensus**

Table 3. NordiQC FISH amplification data\*

	NordiQC FISH HER2/chr17 ratio	NordiQC FISH HER2 copies	NordiQC HER2 amplification status
1. Breast ductal carcinoma	0.6	<4	Non-amplified
2. Breast ductal carcinoma	3.2	≥ 4	Amplified
3. Breast ductal carcinoma	1.3	<4	Non-amplified
4. Breast ductal carcinoma	1.0	<4	Non-amplified
5. Breast ductal carcinoma	9.9	>6	Amplified

<sup>\*</sup> data from one NordiQC reference laboratory.

184 of the 199 (92%) participating laboratories completed scoring sheets on the NordiQC homepage. These evaluations were compared to the HER2 ISH amplification status obtained by the NordiQC reference laboratories, summarized in Graph 2 and 3. For the laboratories performing FISH, the consensus rate was 85% (50 of 59) and 75% (94 of 125) for laboratories using BRISH. This was a small decrease for both laboratories that used FISH and BRISH compared to the last run where the consensus rate was 88% and 78%, respectively.

In general, for both BRISH and FISH, high consensus rates were observed between participants and NordiQC regarding the HER2 amplification status. The most challenges in interpretation of HER2 amplification status were seen in tissue core no. 4, especially for laboratories performing BRISH.

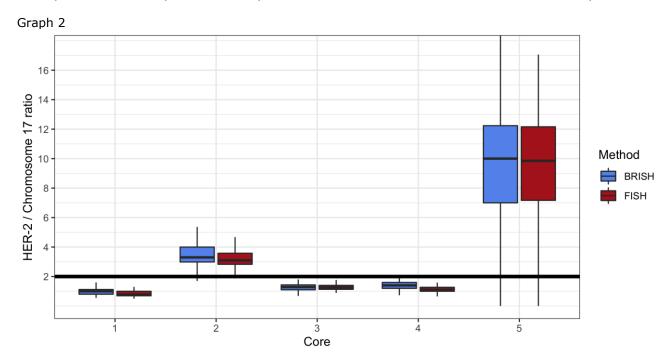
For BRISH and FISH, disagreement of the interpretation of the HER2 amplification status between the participants and NordiQC data was related to "overrating" the HER2 status and thus an aberrant classification compared to the NordiQC reference data and the majority of other participants.

Tumour no. 4 was by the NordiQC reference laboratories characterized as non-amplified. The tumour showed HER2 ratio of 1.3-1.5 and < 4 HER2 gene copies were identified. This tumour was, by some laboratories using either FISH (5 of 59) or BRISH (20 of 125) classified as amplified (n=9), equivocal (n=11) or indeterminable (n=5).

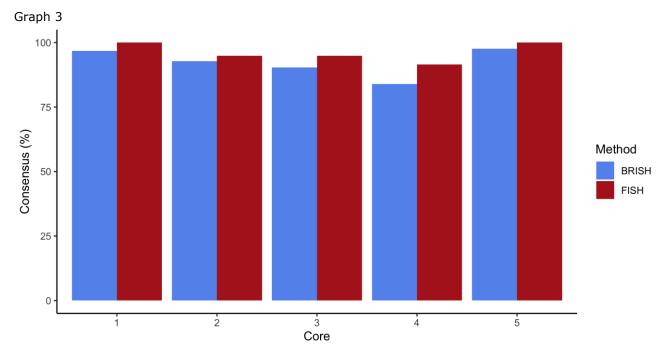
Similar to last assessment, participants using FISH had in HER2 ISH run H16 a marginally higher level of consensus in the individual cores than participants using BRISH.

It was observed that the consensus rates of the individual cores among laboratories that produced staining reaction assessed as technically sufficient (BRISH only) were marginally higher than laboratories with an insufficient mark (76% and 74%, respectively). Despite insufficient staining, laboratories were still able to correctly evaluate the slide. The ISH rejection criteria are applied in NordiQC assessments. The criteria (defined in the 2013/2018 ASCO/CAP HER2 guidelines) require retest, if more than 25% of the signals/cells cannot be interpreted due to artefacts such as silver precipitate, excessive background or negative areas. The material in the assessment consisted of breast tumours with relatively homogenous HER2 expression, which permitted correct evaluation even in slides with large negative areas. This is not always the case in diagnostic settings with heterogeneous tumours or evaluation in specific "hot-spot areas" identified by HER2 IHC.

Participants overall interpretation of amplification ratios and consensus rates are shown in Graph 2 and 3.



NordiOC HER2 ISH run H16: Participant interpretation of amplification status



NordiQC HER2 ISH run H16: Consensus between participants and NordiQC

No technical evaluation of FISH protocols was performed. Table 4 shows the FISH assay used by the participants and concordance level to the NordiQC data observed. It has to be emphasized that it was not possible to identify the cause of an aberrant interpretation of the HER2 status whether this was related to the technical performance of the FISH assay or the interpretation by the observer(s).

Table 4. FISH assays used and level of consensus HER2 status to NordiQC reference data, H16

Assay	Number	Consensus rate
Pathvysion/Abbot, 6N4630 / 30-161060	16	94% (15/16)
ZytoVision, Z2015 / Z2020/ Z2077	9	78% (7/9)
Dako, K5731	12	75% (9/12)
Leica, TA9217	5	100% (5/5)
Dako, GM333	4	75% (3/4)
Other	13	85% (11/13)

#### Conclusion

In this assessment and in concordance with previous NordiQC HER2 ISH runs, technical optimal demonstration of HER2 BRISH could be obtained by the commercially available two-colour HER2 systems INFORM™ HER2 Dual ISH 800-4422 (Ventana/Roche), Ventana HER2 Dual ISH 800-6043 (Ventana/Roche) and ZytoDot® 2C (ZytoVision). The single-colour HER2 system INFORM™ SISH system (Ventana/Roche) could also be used to produce a technical optimal HER2 demonstration. For all systems, retrieval settings − HIER and proteolysis - must be carefully balanced to provide sufficient demonstration of HER2 (and chr17 signals) and preserved morphology.

Despite optimal protocol settings being applied, a high proportion of technical insufficient results were seen, indicating that other issues are influencing the quality of the BRISH assays. Especially the capability of present instrumentation and associated HER2 ISH assays to provide reproducible performance of the protocols might be a central factor. It was observed that the most commonly used HER2 BRISH assay, INFORM™ HER2 Dual ISH (Ventana/Roche), only provided a pass rate of 54% despite using appropriate and well characterized protocol settings. The combined **HER2 Dual ISH / HER2 IHC assay** (Ventana/Roche) applied by optimal protocol settings provided a pass rate of 82% and a significant improvement compared to the "classic" INFORM™ HER2 Dual ISH (Ventana/Roche). Similar improvement in pass rate was also seen for the new two-colour HER2 system from Ventana/Roche, **Ventana Dual ISH system 800-6043**, displaying a pass rate of 84%.

Laboratories performing FISH achieved a marginally higher consensus rate for the interpretation of HER2 amplification status compared to laboratories performing BRISH

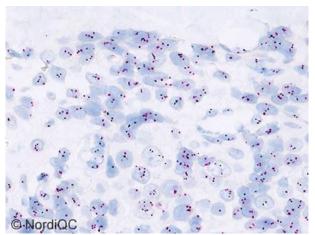


Fig. 1a
Optimal demonstration of the HER2 gene status using the INFORM™ Dual ISH kit cat. no. 800-6043, Ventana/Roche, of the breast carcinoma no. 1 without HER2 gene amplification: HER2/chr17 ratio > 0.8-1.0\*. The HER2 genes are stained black and chr17 red. NordiQC and virtually all participants interpreted this tumour as non-amplified.

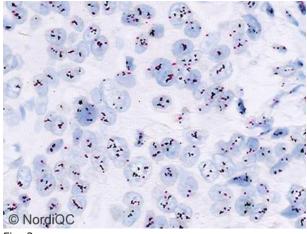


Fig. 2a
Optimal demonstration of the HER2 gene status using the INFORM™ Dual ISH kit cat. no. 800-6043,
Ventana/Roche, of the breast carcinoma no. 2 with HER2 gene amplification: HER2/chr17 ratio 3.8-4.7\*. The HER2 genes are stained black and chr17 red. The HER2 signals are distinctively demonstrated. NordiQC and virtually all participants interpreted this tumour as amplified. Compare with Fig. 3b, 4a and 4b − same tumour.

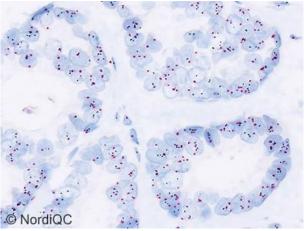


Fig. 1b
Optimal demonstration of the HER2 gene status using the INFORM™ Dual ISH kit cat. no. 800-6043,
Ventana/Roche, of the breast carcinoma no. 3 without
HER2 gene amplification: HER2/chr17 ratio 1.3-1.4\*. The
HER2 genes are stained black and chr17 red. The signals are distinctively demonstrated. NordiQC and the vast majority of participants interpreted this tumour as non-amplified.

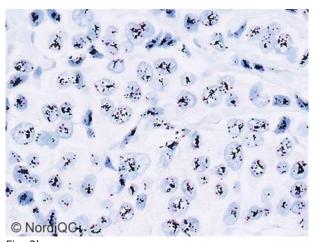


Fig. 2b
Optimal demonstration of the HER2 gene status using the INFORM™ Dual ISH kit cat. no. 800-6043,
Ventana/Roche, of the breast carcinoma no. 5 with high level HER2 gene amplification: HER2/chr17 ratio > 14.6-16.8\*. The HER2 genes are stained black and chr17 red. The signals are distinctively demonstrated, and the majority of HER2 signals are located in large clusters. NordiQC and virtually all participants interpreted this tumour as positive, highly amplified.

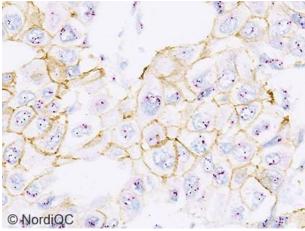


Fig. 3a
Optimal demonstration of the HER2 gene status using the INFORM™ Dual ISH kit cat. no. 800-4422, Ventana/Roche, in combination with HER2 IHC using PATHWAY, Ventana/Roche, of the breast carcinoma no. 4 without HER2 gene amplification: HER2/chr17 ratio 1.3-1.4\*. The gene protein assay (GPA) labels the HER2 genes black, chr17 red and HER2 protein brown. The IHC level is interpreted as 2+ and the GPA assay visualizes IHC hotspots to evaluate the HER2 gene status precisely. The participant interpreted this tumour as non-amplified. NordiQC and the vast majority of participants interpreted this tumour as non-amplified.

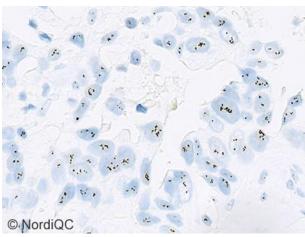


Fig. 4a
Optimal demonstration of the HER2 gene status using the INFORM™ HER2 SISH Ventana 780-4332, of the breast carcinoma no. 2 with HER2 gene amplification: HER2/chr17 ratio 3.8-4.7\*. The HER2 genes are stained black and signals are distinctively demonstrated. The participant registered an average of more than 6 HER2 gene copies per cell/nucleus and interpreted this tumour as amplified. NordiQC and virtually all participants also interpreted this tumour as amplified. Compare with Fig. 2a, 3b and 4b − same tumour.

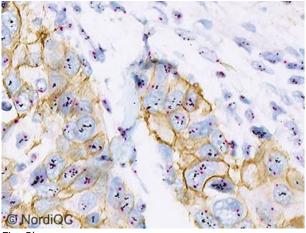


Fig. 3b
Optimal demonstration of the HER2 gene status using the INFORM™ Dual ISH kit cat. no. 800-4422,
Ventana/Roche, in combination with HER2 IHC using PATHWAY, Ventana/Roche, of the breast carcinoma no. 2 with HER2 gene amplification: HER2/chr17 ratio 3.8-4.7 \*. The gene protein assay (GPA) labels the HER2 genes black, chr17 red and HER2 protein brown. The IHC level is interpreted as 2+ and the GPA assay visualizes the HER2 IHC overexpression and the HER2 gene status simultaneously. The participant interpreted this tumour as positive, moderately amplified. NordiQC and virtually all participants interpreted this tumour as amplified. Compare with Fig. 2a, 4a and 4b − same tumour.

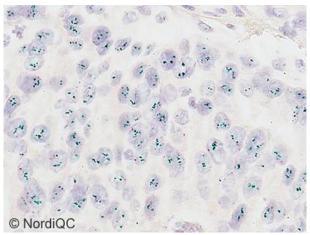


Fig. 4b
Optimal demonstration of the HER2 gene status using the ZytoDot® 2C C-3022/C-3032, ZytoVision, of the breast carcinoma no. 2 with HER2 gene amplification: HER2/chr17 ratio 3.8-4.7\*. The HER2 genes are stained green and chr17 red. HER2 and chr17 signals are distinctively demonstrated. NordiQC and virtually all participants also interpreted this tumour as amplified. Compare with Fig. 2a, 3b and 4a – same tumour.

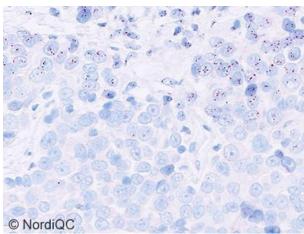


Fig. 5a
Insufficient staining of the HER2 gene using the
INFORM™ Dual ISH kit cat. no. 800-4422,
Ventana/Roche, of the breast carcinoma no. 1 without
HER2 gene amplification: HER2/chr17 ratio > 0.8-1.0\*.
HER2 genes are stained black, chr17 red. Large areas (>
25% of the neoplastic cells) of core no. 1 are totally
negative. This aberrant staining reaction / "negative spot
artefact" was most likely caused by a technical problem
during the staining process in the BenchMark instrument.
Vendor recommended protocol settings were applied.
Compare with Fig. 1a. – same area.

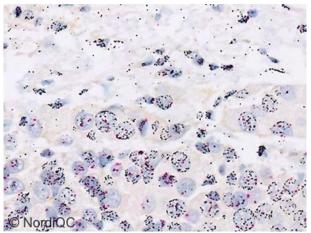


Fig. 5b
Insufficient staining of the HER2 gene using the INFORM™ Dual ISH kit cat. no. 800-4422,
Ventana/Roche, of the breast carcinoma no. 1 without HER2 gene amplification: HER2/chr17 ratio > 0.8-1.0\*.
HER2 genes are stained black, chr17 red. Silver precipitates are seen in large areas (> 25% of the neoplastic cells). In the current case, silver precipitates are predominantly seen intranuclear and extracellular and were most likely caused by a technical problem during the staining process in the BenchMark instrument. Vendor recommended protocol settings were applied. Compare with Fig. 1a. – same area.

\* INFORM™ Dual ISH kit cat. no. 800-4422, Ventana/Roche (range of data from one reference lab.)

ON/RR/LE/SN 09.12.2019